Document Checklist

What to bring to your first meeting:

Retirement Planning Documents

- Recent IRA, 401(k), 403(b), TSA, Keogh Statements
- Employee Benefits Program
- Deferred Compensation and Stock Option Agreements
- Pension and Profit-Sharing Statements

Tax Planning Documents

- Tax Returns for Last Year
- W-2 and a Recent Pay Stub
- Estimated Taxes

Financial Documents

- Savings Account Statements
- Mutual Fund Statements
- Brokerage Account Statements
- Investment Documents
- Loan Documents
- List of Stocks Held Outside of Brokerages
- Partnership Agreements

Asset Protection Documents

- Life Insurance Policies and Statements
- Medical, Homeowners and Auto Insurance Policies and Statements
- Disability, Umbrella, and Long-Term Care Insurance Policies
- Annuity Policies and Statements

Estate Planning Documents

- Summary of Your Will, Living Will, Durable Powers of Attorney and Health Care Powers
- Living Trusts