

# Security Initiatives at PWM

[ Success by Design ]

At Prentice Wealth Management we always have your financial security in mind. We have enhanced our security procedures to include verbal identity verification. **All distribution requests must be verbally confirmed.** Electronically submitted requests will be verbally confirmed by a member of our administrative staff.

## Improved Security Measures at PWM

- We have adopted FCI's 3 step process (Audit, Enforce, Protect.) FCI is a Managed Cybersecurity Service Provider dedicated to the Financial Services Industry.
- We comply with new security policies and industry regulations regarding cybersecurity tools and reporting.
- FCI monitoring is on 24/7 and 365 days a year.

### Services Provided by FCI

- Cybersecurity monitoring
- Antivirus & anti malware
- Full disk encryption
- Encrypted backups
- Multi-factor authentication
- Encrypted email
- Vulnerability testing & domain security assessment



### Services by Strategy Basecamp

- Cybersecurity governance & risk assessments
- Password management/access rights & controls
- Encryption/data loss prevention
- Physical access
- Money movement controls
- 3<sup>rd</sup> party vendor cybersecurity risk management
- Training
- Incident Response Planning



### Services Provided by Protectit

- Protects our private data from cyber threats and liabilities
- Asset audit
- Policy enforcement
- Cyber protection

### Protectit

Cybersecurity Policy  
Enforcement System  
For Financial Services Firms