



7535 E. Hampden Avenue, Suite 600, Denver, CO 80231 | MemberServices@OneFPA.org | OneFPA.org
Toll Free: 800.322.4237 | Phone: 303.759.4900 | Fax: 303.759.0749

Standard of Care

FPA Standard of Care

All financial planning services will be delivered in accordance with the following standard of care:

Principle 1

Put the client's best interests first.

Principle 2

Act with due care and in utmost good faith.

Principle 3

Do not mislead clients.

Principle 4

Provide full and fair disclosure of all material facts.

Principle 5

Disclose and fairly manage all material conflicts of interest.

The Financial Planning Association is the owner of trademark [and registration], service mark and collective membership mark rights in, and various U.S. registrations/applications for: FPA, FPA/Logo and FINANCIAL PLANNING ASSOCIATION. The marks may not be used without written permission from the Financial Planning Association.