

## Tax Document Calendar Page/Descriptions of Tax Forms Consolidated 1099

| Name   | What's reported   | Availability date  |
|--|---|--|
| <b>Consolidated Form 1099</b>  | All reportable income and transaction for the year. If you received at least \$10 in either dividends or interest during the tax year or had an equity sale in a taxable account, you will receive an Internal Revenue Service (IRS) Form 1099. If applicable to your account, Consolidated Form 1099s may include: Form 1099-B, Form-DIV, Form 1099-INT, Form 1099-MISC, and Form 1099-OID | We expect to have all 1099s available online by February 14, 2018. Depending on the activity and holdings in your client's account, the form may be available earlier. |
| <b>1099-Q</b><br>Payments from Qualified Education Programs (under Sections 529 and 530)             | Distributions from qualified tuition programs and Coverdell ESA   | Online by the close of business on January 22, 2018  |
| <b>1099-R</b><br>Distributions from Pensions, Annuities, Retirement or Profit-Sharing Plans, etc.    | Distributions from qualified retirement plans (for example, individual 401(k), profit-sharing, and money-purchase plans), or any IRAs or IRA recharacterizations, conversions, or removals of excess  | Online by the close of business on January 22, 2018  |
| <b>480.6a</b><br>Informative Return—Income Not Subject to Withholding (Puerto Rico residents only)   | Payments to residents of Puerto Rico—such as dividends, interest, partnership distributions, long-term gains, liquidations, and gross proceeds—that did not have Puerto Rico tax withheld. These payments can be from a Puerto Rico or non-Puerto Rico source.  | Online by the close of business on February 20, 2018   |
| <b>480.6b</b><br>Informative Return – Income Subject to Withholding (Puerto Rico residents only)     | Puerto Rico source income (generally, dividends and interest); this is subject to Puerto Rico "at source" withholding (also known as foreign tax)   | Online by the close of business on February 20, 2018   |
| <b>REMIC</b><br>(Real Estate Mortgage Investment Conduit)/WHFIT (Widely Held Fixed Investment Trust) | Original issue discount from a REMIC; TD Ameritrade is also required to provide a supplement to this form, which is used to report the income accrual that will appear on your Consolidated Form 1099   | Online by the close of business on March 8, 2018   |
| <b>1042-S</b><br>Foreign Person's U.S. Source Income Subject to Withholding                          | Interest, dividends, and federal taxes withheld   | Online by the close of business on March 7, 2018   |

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| <p><b>2439</b><br/>Notice to Shareholders of Undistributed Long-Term Capital Gains</p>        | <p>Undistributed capital gains from mutual funds and Real Estate Investment Trusts (REITs)</p>  | <p>Online by the close of business on March 16, 2018</p> |
| <p><b>5498-ESA</b><br/>Coverdell ESA (Education Savings Account) Contribution Information</p> | <p>Contributions (including rollover contributions) to a Coverdell ESA</p>  | <p>Online by the close of business on April 24, 2018</p> |
| <p><b>5498</b><br/>IRA (Individual Retirement Account) Contribution Information</p>           | <p>Contributions (including rollover contributions) to any IRA</p>  | <p>Online by the close of business on May 18, 2018</p>   |
| <p><b>Schedule K-1/Form 1065</b><br/>Partner's Share of Income, Deductions, Credits, etc.</p> | <p>Distributions from partnership securities; your partnership administrator should mail your K-1 by April 15.<br/><b>Please note:</b> While the K-1 form itself accounts for distributions or other items being passed through to the partners, proceeds from the sale of partnership units must be reported on the 1099-B section of your Consolidated 1099 Form.</p> | <p>TD Ameritrade does not provide this form</p>          |

Last Updated: January 11, 2018.